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# Excitation and stability of nonlinear compressible Görtler vortices and streaks induced by free-stream vortical disturbances

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7 (Received xx; revised xx; accepted xx)

# 8 Accepted for publication in J. Fluid Mech.

We study the generation, nonlinear development and secondary instability of unsteady 9 Görtler vortices and streaks in compressible boundary layers exposed to free-stream vortical 10 disturbances and evolving over concave, flat and convex walls. The formation and evolution of 11 the disturbances are governed by the compressible nonlinear boundary-region equations, sup-12 plemented by initial and boundary conditions that characterise the impact of the free-stream 13 disturbances on the boundary layer. Computations are performed for parameters typical of 14 flows over high-pressure turbine blades, where the Görtler number, a measure of the curvature 15 effects, and the disturbance Reynolds number, a measure of the nonlinear effects, are order-16 one quantities. At moderate intensities of the free-stream disturbances, increasing the Görtler 17 number renders the boundary layer more unstable, while increasing the Mach number or the 18 19 frequency stabilises the flow. As the free-stream disturbances become more intense, vortices over concave surfaces no longer develop into the characteristic mushroom-shaped structures, 20 while the flow over convex surfaces is destabilised. An occurrence map identifies Görtler 21 vortices or streaks for different levels of free-stream disturbances and Görtler numbers. 22 Our calculations capture well the experimental measurements of the enhanced skin friction 23 and wall-heat transfer over turbine-blade pressure surfaces. The time-averaged wall-heat 24 transfer modulations, termed hot fingers, are elongated in the streamwise direction and their 25 spanwise wavelength is half of the characteristic wavelength of the free-stream disturbances. 26 Nonlinearly saturated disturbances are unstable to secondary high-frequency modes, whose 27 growth rate increases with the Görtler number. A new varicose even mode is reported, which 28 may promote transition to turbulence at the stem of nonlinear streaks. 29

30 Key words: boundary layer receptivity, instability, transition to turbulence

# 31 1. Introduction

32 Görtler instability originates in boundary layers over concave walls from an inviscid im-

- 33 balance between pressure and centrifugal forces. The resulting boundary-layer disturbances
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are steady or low-frequency streamwise-elongated structures - known as Görtler vortices -34 which play a primary role in driving the laminar-to-turbulence transition in a wide range of 35 industrial and technological applications. In high-speed flows, Görtler vortices are a major 36 concern for the design of hypersonic vehicles, atmospheric re-entry capsules and jet engines, 37 38 where the intensified wall-shear stresses and wall-heat transfer caused by these vortices pose a severe risk for surface thermal protection (Schneider 1999; Sun & Smith 2017). 39 40 Görtler vortices are also critical for the design of nozzles in high-speed wind tunnels because they rapidly promote transition to turbulence, which radiates aerodynamic noise that often 41 prevents accurate measurements in the test section and, more seriously, renders the test 42 condition drastically different from that of flight (Beckwith et al. 1973; Schneider 2008, 43 2015). 44

45 Of particular interest in our study is the influence of compressible Görtler vortices on the efficiency of turbomachinery, such as high-pressure turbines, characterised by highly 46 curved blade profiles and high levels of ambient disturbances. Despite the ubiquity of Görtler 47 vortices in turbomachinery flows, we note that the literature on Görtler vortices does not 48 often mention turbomachinery applications. At the same time, most studies on turbine blades 49 recognise the presence of disturbed transitional flows, but only a few have paid attention to 50 Görtler vortices. A clear conceptual link between studies on Görtler vortices and turboma-51 chinery flows is therefore missing, although effort and progress to connect the two have been 52 made by Wu, Zhao & Luo (2011) and Xu, Zhang & Wu (2017). Furthermore, one of the key 53 challenges in understanding transitional boundary layers populated by Görtler vortices is their 54 extreme sensitivity to external disturbances, such as free-stream turbulence, whose intensity 55 in turbomachinery flows can reach 20%. The strong influence of external disturbances on 56 Görtler instability needs to be accounted for via a receptivity formalism (Wu et al. 2011; Xu 57 et al. 2017; Marensi & Ricco 2017). 58

In this work, we develop a rigorous mathematical and numerical framework to investigate 59 the generation, nonlinear evolution and secondary stability of compressible Görtler vortices 60 excited by free-stream vortical disturbances (FVD) for a range of parameters that are relevant 61 to high-pressure turbine blades. We also study nonlinear compressible streaks evolving over 62 flat surfaces, often called Klebanoff modes (Ricco & Wu 2007; Marensi, Ricco & Wu 2017), 63 and elongated streaky structures appearing over convex surfaces. Receptivity to external 64 vortical disturbances is central in our analysis as it allows linking our work to studies on 65 turbomachinery flows. In §1.1, we summarise theoretical studies of compressible Görtler 66 vortices, including linear stability theory, initial-value theory and initial-boundary-value 67 receptivity theory. Comprehensive reviews of incompressible Görtler instability were given 68 by Hall (1990), Floryan (1991) and Saric (1994). A recent review on theoretical, numerical 69 and experimental studies of compressible Görtler vortices can be found in Xu, Ricco & Duan 70 (2024). Flows over the pressure side of turbine blades are discussed in §1.2. Further details 71 on the scope of our study are given in §1.3. 72

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# 1.1. Theoretical studies of compressible Görtler vortices

Early studies on incompressible and compressible Görtler vortices neglected the spatial 74 evolution of boundary layers and resorted to a local eigenmode approach by adopting the 75 parallel mean-flow assumption. However, due to the growing nature of free-stream boundary-76 layer flows, in general Görtler instability has to be formulated as an initial-value problem, as 77 first rigorously demonstrated in the incompressible case by Hall (1983). Hall (1983) realised 78 that the nonparallel-flow terms cannot be neglected or included in an approximate manner 79 in the study of Görtler instability in the case of order-one Görtler number and characteristic 80 81 wavelength comparable with the boundary-layer thickness. The nonparallel-flow terms in the equations of motion are of leading order because the streamwise length scale of Görtler 82

vortices is comparable with that of the base flow. Hall (1983) also showed that the asymptotic 83 limit of large Reynolds number renders the Navier-Stokes equations parabolic along the 84 streamwise direction, i.e. the streamwise diffusion and the streamwise pressure gradient of 85 the perturbations are negligible because they are asymptotically small. The parabolised equa-86 tions are nowadays called the boundary-region equations (Leib, Wundrow & Goldstein 1999), 87 although this terminology was not used by Hall (1983). The spanwise diffusion is retained 88 89 because the spanwise wavelength of the disturbance is comparable with the boundary-layer thickness. It should be noted that the initial-boundary-value formulation of Leib et al. (1999) 90 is the only theory that takes the external-disturbance receptivity into account. The eigenvalue-91 problem formulation becomes tenable only when the Görtler number is asymptotically large 92 (Hall 1982). 93

94 Hall & Malik (1989) and Hall & Fu (1989) studied compressible Görtler vortices with a wavelength smaller than the boundary-layer thickness under the assumptions of order-one 95 and large Mach numbers, respectively. They concluded that compressibility has a stabilising 96 effect on Görtler instability. A major difference between Görtler vortices in incompressible 97 and compressible flows is the presence of the temperature adjustment layer in the hypersonic 98 limit of large Mach number (Hall & Fu 1989). This layer is located at the edge of the 99 boundary layer, where the temperature of the base flow changes rapidly to its free-stream 100 value. In the limits of large Mach number and large Görtler number, Hall & Fu (1989) 101 analysed Görtler vortices trapped in the adjustment layer by using an eigenvalue approach. 102 The adjustment-layer mode grew the most and therefore the adjustment layer was deemed to 103 be the most dangerous site for secondary instability (Fu & Hall 1991a). Dando & Seddougui 104 (1993) and Ren & Fu (2014) studied the competition between the adjustment-layer mode 105 and the conventional wall-layer mode and showed that the former becomes dominant in the 106 hypersonic regime, but it is overtaken by the wall-layer mode for sufficiently large Görtler 107 numbers. 108

The nonlinear interaction of disturbances in a boundary layer generates harmonics and a 109 mean-flow distortion. Nonlinearity saturates the Görtler vortices when they acquire a signifi-110 cant amplitude. Fu & Hall (1991b) first studied the nonlinear development of Görtler vortices 111 in the large Mach number limit. Bogolepov (2001) investigated the nonlinear evolution of 112 long-wavelength Görtler vortices in hypersonic boundary layers and showed the effects of 113 wall temperature. The eigensolutions of the linear stability problem were used by Ren & Fu 114 115 (2015) to initiate the downstream computation of the nonlinear parabolised stability equations (this mathematical framework differs from the boundary-region approach, as amply 116 discussed in Xu et al. (2024)). It should be noted that the use of eigenfunctions as initial 117 conditions is a common *ad hoc* practice and is only justified when the Görtler number is 118 large. Mushroom-shaped structures of the streamwise velocity, common in flows dominated 119 120 by Görtler vortices, were found to be replaced by bell-shaped structures during the initial flow evolution. Ren & Fu (2015) ascribed this result to the dominance of the adjustment-121 122 layer mode.

Viaro & Ricco (2018, 2019b,a) extended the receptivity theory of incompressible Görtler 123 124 vortices by Wu et al. (2011) to the compressible regime and studied the neutral curves of Görtler instability excited by weak FVD. They tackled the receptivity problem by solving 125 the linear compressible boundary-region equations complemented by initial and boundary 126 conditions that synthesise the influence of physically-realizable FVD. As opposed to the 127 parabolised stability equations, where the streamwise diffusion and streamwise pressure 128 gradient terms are modelled by an *ad hoc* numerical procedure, the boundary-region equa-129 tions are parabolic to leading-order accuracy as they are the rigorous asymptotic limit of the 130 131 Navier-Stokes equations for low-frequency and long-wavelength perturbations, to which the boundary layer is most receptive. 132

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Marensi *et al.* (2017) solved the nonlinear boundary-region equations to extend the work
of Ricco & Wu (2007) on linear compressible streaks to take into account nonlinear effects.
Sescu *et al.* (2020) focused on the nonlinear evolution of steady Görtler vortices excited by
FVD and computed the wall-shear stress and the wall-heat flux for Mach numbers varying
from 0.8 to 6.

#### 138

#### 1.2. Flows over high-pressure turbine blades

139 High-pressure turbine blades are subject to extreme inlet conditions, including high levels of 140 temperature, pressure and unsteadiness of the oncoming turbulence, rendering these flows extremely difficult to measure experimentally and to simulate numerically (Mayle 1991; 141 Zhao & Sandberg 2020). Additional difficulties arise from the strong blade curvature and the 142 effects of wall temperature and pressure gradients. Due to these complexities, most experi-143 ments and simulations have been conducted in incompressible flow conditions (Radomsky 144 145 & Thole 2002; Varty & Ames 2016; Morata et al. 2012; Kanani et al. 2019; Đurović et al. 2021; Lengani et al. 2022). Arts, Lambertderouvroit & Rutherford (1990) carried out unique 146 experimental measurements in a compressible wind tunnel and reported data of quantities at 147 the wall. Further boundary-layer measurements, such as those by Radomsky & Thole (2002), 148 are still needed for realistic turbomachinery flow conditions. In a few studies, compressible-149 flow simulations have been performed (Bhaskaran & Lele 2010; Wheeler et al. 2016; Zhao 150 & Sandberg 2020), but a systematic parameter study has not been carried out due to compu-151 tational limitations. 152

According to Gourdain, Gicquel & Collado (2012), streamwise vortices are excited in 153 boundary layers over the pressure and suction surfaces of turbine blades. These vortices 154 impact the wall-shear stress and the wall-heat transfer, but their prediction is challenging 155 due to the multitude of factors mentioned earlier. In particular, the influence of the blade 156 curvature on the excitation and evolution of the induced vortices remains obscure. Previous 157 studies have suggested that centrifugal forces could trigger vortices on the pressure surface, 158 159 as evidenced by the detection of typical Görtler vortex structures, such as mushrooms and wall 'hot fingers' (elongated regions of high wall heat transfer), as reported by Gourdain et al. 160 (2012) and Baughn et al. (1995), respectively. However, recent direct numerical simulations 161 have revealed that the concave curvature of the blade is not the sole cause of these vortices, 162 as they also appear in the leading-edge region of both suction and pressure surfaces (Wheeler 163 et al. 2016; Zhao & Sandberg 2020). Furthermore, the effect of curvature was not detected 164 in simulations and experiments with elevated free-stream turbulence levels as Görtler vor-165 tices with the typical mushroom-shaped structure were not observed (Wheeler et al. 2016; 166 Zhao & Sandberg 2020; Arts et al. 1990). Đươn cé tal. (2021) numerically identified the 167 appearance of longitudinal vortical structures on the pressure side of low-pressure turbine 168 blades, but ruled out the possibility that these structures were produced by Görtler instability. 169 In their incompressible receptivity study, Xu et al. (2017) found that, under high-intensity 170 FVD, Görtler vortices took on the character of streaks, also known as Klebanoff modes, 171 disturbances typically observed in boundary-layer flows over flat plates (Ricco & Wu 2007; 172 Marensi et al. 2017). 173

Despite these research endeavours, a full characterisation of the nature of these structures - Görtler vortices or streaks – in the compressible regime is unavailable. Most importantly, previous incompressible studies, such as those mentioned earlier, can neither predict the temperature field in the boundary layer nor capture typical compressible flow structures, such as the hot fingers. Understanding the formation of these structures is crucial as it informs the

179 design of cooling techniques to protect the blade surface (Wright et al. 2014).

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# 1.3. Scope of our study

Our objective is to study the receptivity, nonlinear evolution and secondary instability of 181 FVD-induced Görtler vortices and streaks in compressible boundary layers. A direct appli-182 cation of our investigation is the dynamics of boundary layers that are typically observed over 183 the pressure and suction surfaces of high-pressure turbines. Our study is based on the earlier 184 investigations of Marensi et al. (2017) and Viaro & Ricco (2019a) and it can be viewed as 185 an extension of the former to include centrifugal effects and a generalisation of the latter 186 187 to the nonlinear case (the reader is refereed to table 2 of Xu *et al.* (2024) for an overview of boundary-region receptivity studies). The present work is also an extension of Xu et al. (2017) 188 to the compressible regime. The flow parameters are chosen as representative of common 189 turbomachinery flows, in particular with reference to the unique compressible experiments 190 191 of Arts et al. (1990).

We focus on unsteady disturbances because they are likely to be present in boundary 192 layers exposed to high free-stream turbulence environments, such as those over turbine 193 blades (Schultz & Volino 2003). A systematic investigation of the effects of Mach number, 194 wall curvature and FVD intensity on the nonlinear development of Görtler vortices has 195 196 been carried out, thus uncovering the intricate interplay between these factors in realistic turbomachinery conditions. The unexplained absence of Görtler vortices in flows over turbine 197 blades is elucidated by studying the competition between wall curvature and FVD intensity, 198 thus providing a novel link between Görtler vortices and turbomachinery flow systems. 199 200 Comparisons with experimental measurements are also presented, showing the key role of the 201 mean-flow distortion in the nonlinear generation of hot fingers over pressure surfaces. Finally, a secondary instability analysis of the nonlinearly saturated disturbances has revealed the 202 occurrence of a new varicose mode, never reported in previous studies, which may promote 203 transition to turbulence at the stem of streaks. 204

A limitation of our fundamental analysis is the absence of pressure gradient, which may 205 impact the flows on both surfaces of a turbine blade and, in particular, induce boundary-layer 206 separation over the suction surface (Nagarajan, Lele & Ferziger 2007). Furthermore, leading-207 edge bluntness, also absent in the present work, can influence the receptivity of the base flow 208 and the evolution of boundary-layer disturbances through the induced streamwise pressure 209 gradient and by distorting the flow around the stagnation point (Xu et al. 2020; Nagarajan 210 et al. 2007). Inclusion of these effects in our future work is discussed in the concluding 211 remarks (§5). 212

## 213 2. Mathematical framework

214 We consider compressible boundary layers flowing over concave, flat and convex surfaces. The radius of curvature of the surface is denoted by  $r_0^*$ . Hereafter, the superscript \* indicates 215 dimensional quantities. Figure 1 shows a schematic of the flow domain in the concave-wall 216 case. The oncoming base flow is uniform with free-stream velocity  $U_{\infty}^*$  and temperature 217 218  $T_{\infty}^*$ , superimposed on which are unsteady free-stream disturbances. Although free-stream turbulence is of broadband nature, as in Marensi et al. (2017) we consider the simplified case 219 220 of FVD consisting of a pair of vortical modes with the same frequency (and hence streamwise wavenumber), but opposite spanwise wavenumbers  $\pm k_z^*$ . As streamwise-elongated vortices 221 in a boundary layer typically exhibit a well-defined spanwise spacing  $\Lambda^*$ , it is reasonable to 222 study vortices that are excited by a pair of dominant oblique FVD components. 223 The flow is described in an orthogonal curvilinear coordinate system,  $x^* = \{x^*, y^*, z^*\}$ , 224

that defines the streamwise, wall-normal and spanwise directions. The conversion from the Cartesian to the curvilinear coordinate system is achieved through the Lamé coefficients



Figure 1: Schematic of the physical domain for the concave-wall case. The sketches of the Görtler vortices and the hot fingers are simply illustrative and do not represent their actual relative positions. The dynamics between the Görtler vortices and the hot fingers is discussed in §4.3.

227  $\{h_x, h_y, h_z\} = \{(r_0^* - y^*)/r_0^*, 1, 1\}$  (Wu *et al.* 2011; Viaro & Ricco 2019*a*). Lengths are nor-228 malised using the length scale  $\Lambda^* = 1/k_z^*$ , while  $U_\infty^*$  and  $T_\infty^*$  are the velocity and temperature 229 scales. The fluid properties, such as the density  $\rho^*$ , the dynamic viscosity  $\mu^*$  and the thermal 230 conductivity  $\kappa^*$ , are scaled by their respective constant free-stream values,  $\rho_\infty^*, \mu_\infty^*$  and  $\kappa_\infty^*$ . 231 The time  $t^*$  and the pressure  $p^*$  are non-dimensionalised by  $\Lambda^*/U_\infty^*$  and  $\rho_\infty^*U_\infty^{*2}$ , respectively. 232 The free-stream disturbance  $\boldsymbol{u}_\infty$  is expressed as

233 
$$\boldsymbol{u} - \mathbf{i} = \epsilon \boldsymbol{u}_{\infty}(x - t, y, z) = \epsilon \left( \hat{\boldsymbol{u}}_{+}^{\infty} \mathrm{e}^{\mathrm{i}k_{z}z} + \hat{\boldsymbol{u}}_{-}^{\infty} \mathrm{e}^{-\mathrm{i}k_{z}z} \right) \mathrm{e}^{\mathrm{i}k_{x}(x - t) + \mathrm{i}k_{y}y} + \mathrm{c.c.}, \qquad (2.1)$$

where  $\epsilon \ll 1$  is a measure of the disturbance intensity, **i** is the unit vector along the streamwise direction and c.c. indicates the complex conjugate. The gust disturbance (2.1) is passively advected by the free-stream base flow, i.e. the phase velocity is  $U_{\infty}^*$  because the disturbance is of small amplitude and specified at small *x* distances, where viscous effects play a secondary role, and at large *y* distances, where the displacement effect induced by the boundary layer is negligible. The vector  $\hat{u}_{\pm}^{\infty} = \{\hat{u}_{x,\pm}^{\infty}, \hat{u}_{y,\pm}^{\infty}, \hat{u}_{z,\pm}^{\infty}\} = O(1)$  satisfies the solenoidal condition

240 
$$k_x \hat{u}_{x,\pm}^{\infty} + k_y \hat{u}_{y,\pm}^{\infty} \pm k_z \hat{u}_{z,\pm}^{\infty} = 0.$$
(2.2)

241 The Reynolds number  $R_{\Lambda}$  is defined as

242 
$$R_{\Lambda} = \frac{\rho_{\infty}^* U_{\infty}^* \Lambda^*}{\mu_{\infty}^*}$$
(2.3)

and is taken to be asymptotically large, i.e.  $R_{\Lambda} \gg 1$ . The scaled wavenumbers  $\kappa_y = k_y / \sqrt{k_x R_{\Lambda}} = O(1)$  and  $\kappa_z = k_z / \sqrt{k_x R_{\Lambda}} = O(1)$  are also defined. To account for centrifugal

245 effects, a Görtler number is introduced,

246

$$\mathcal{G} = \frac{R_{\Lambda}^{1/2} \Lambda^*}{k_x^{3/2} r_0^*} = O(1).$$
(2.4)

In the present study only unsteady disturbances  $(k_x \neq 0)$  are considered and therefore the 247 Görtler number is well defined. The Görtler number  $G_{\Lambda}$  defined in Viaro & Ricco (2019*a*) 248 is related to  $\mathcal{G}$  by  $\mathcal{G} = (\kappa_z/k_z)^3 G_{\Lambda}$ . Note that  $\mathcal{G} = O(1)$  only if  $\kappa_z = O(1)$ , which is the 249 case in the present analysis. As a measure of nonlinear effects, we introduce the disturbance 250 Reynolds number  $r_t = \epsilon R_{\Lambda} = O(1)$ , as in Leib *et al.* (1999) and Ricco *et al.* (2011). The 251 oncoming flow is isentropic and air is assumed to be a perfect gas. The free-stream Mach number is defined as  $\mathcal{M}_{\infty} = U_{\infty}^*/a_{\infty}^* = O(1)$ , where  $a_{\infty}^* = (\gamma R^* T_{\infty}^*)^{1/2}$  is the speed of sound in the free stream,  $R^* = 287.06 \text{ J kg}^{-1} \text{ K}^{-1}$  is the ideal gas constant for air and  $\gamma = 1.4$  is the 252 253 254 ratio of the specific heat capacities. 255

256 We focus on low-frequency, long-streamwise-wavelength free-stream disturbances ( $k_x \ll$ 1) because boundary layers are most receptive to these perturbations. Experimental evidence 257 has shown that low-frequency disturbances are those that amplify the most inside wall-258 bounded shear layers (Matsubara & Alfredsson 2001). The plate is thin and the Mach number 259 is moderate so that shocks are assumed to be weak and distant from the boundary layer. The 260 261 effects of shocks on the free-stream perturbations and the boundary layer are therefore neglected. The reader is referred to Qin & Wu (2016) for the response of a flat-plate hypersonic 262 boundary layer to free-stream acoustic, vortical and entropy disturbances downstream of a 263 shock. 264

The flow domain is divided into four asymptotic regions, described in Viaro & Ricco (2019*a*). The region of interest is region III, where the spanwise and wall-normal viscous effects are comparable and the streamwise coordinate is scaled with the streamwise wavenumber of the free-stream disturbance, i.e.  $\bar{x} = k_x x = O(1)$ . The distinguished relationship  $k_x = O(R_{\Lambda}^{-1})$  emerges from the asymptotic balance and the slow time variable  $\bar{t} = k_x t = O(1)$ is defined. The streamwise velocity is larger than the wall-normal and spanwise velocities by a factor  $O(R_{\Lambda})$  and larger than the pressure by a factor  $O(R_{\Lambda}^2)$ . The velocity, pressure and temperature variables are rescaled as

273 
$$\{u^*, v^*, w^*\} / U_{\infty}^* = \left\{\tilde{u}, \sqrt{k_x / R_{\Lambda}} \tilde{v}, k_x \tilde{w}\right\}, \quad p^* / \rho_{\infty}^* U_{\infty}^{*2} = k_x R_{\Lambda}^{-1} \tilde{p}, \quad T^* / T_{\infty}^* = \tilde{T}.$$
(2.5)

By substituting expression (2.5) into the compressible Navier-Stokes equations written in curvilinear coordinates and by performing the change of variable  $(x, t) \rightarrow (\bar{x}, \bar{t})$ , we obtain the following leading-order nonlinear boundary-region equations:

$$\frac{\partial \tilde{\rho}}{\partial \bar{t}} + \frac{\partial \tilde{\rho}\tilde{u}}{\partial \bar{x}} + \frac{\kappa_z}{k_z}\frac{\partial \tilde{\rho}\tilde{v}}{\partial y} + \frac{\partial \tilde{\rho}\tilde{w}}{\partial z} = 0, \qquad (2.6)$$

0...

$$\tilde{\rho}\frac{\partial\tilde{u}}{\partial\tilde{t}} + \tilde{\rho}\tilde{u}\frac{\partial\tilde{u}}{\partial\bar{x}} + \tilde{\rho}\tilde{v}\frac{\kappa_z}{k_z}\frac{\partial\tilde{u}}{\partial y} + \tilde{\rho}\tilde{w}\frac{\partial\tilde{u}}{\partial z} = \frac{\kappa_z^2}{k_z^2} \left[\frac{\partial}{\partial y}\left(\tilde{\mu}\frac{\partial\tilde{u}}{\partial y}\right) + \frac{\partial}{\partial z}\left(\tilde{\mu}\frac{\partial\tilde{u}}{\partial z}\right)\right], \quad (2.7)$$

$$\tilde{\rho}\frac{\partial\tilde{v}}{\partial\tilde{t}} + \tilde{\rho}\tilde{u}\frac{\partial\tilde{v}}{\partial\bar{x}} + \tilde{\rho}\tilde{v}\frac{\kappa_z}{k_z}\frac{\partial\tilde{v}}{\partial y} + \tilde{\rho}\tilde{w}\frac{\partial\tilde{v}}{\partial z} + \tilde{G}\tilde{u}^2 =$$

$$\frac{282}{k_z}\left\{-\frac{\partial\tilde{p}}{\partial y} + \frac{\partial}{\partial y}\left[\frac{2}{3}\tilde{\mu}\left(\frac{2\kappa_z}{k_z}\frac{\partial\tilde{v}}{\partial y} - \frac{\partial\tilde{w}}{\partial z}\right)\right] + \frac{\partial}{\partial z}\left[\tilde{\mu}\left(\frac{\kappa_z}{k_z}\frac{\partial\tilde{v}}{\partial z} + \frac{\partial\tilde{w}}{\partial y}\right)\right] - \frac{\partial}{\partial y}\left(\frac{2}{3}\tilde{\mu}\frac{\partial\tilde{u}}{\partial\bar{x}}\right) + \frac{\partial}{\partial\bar{x}}\left(\tilde{\mu}\frac{\partial\tilde{u}}{\partial y}\right)\right\}$$

$$(2.8)$$

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$$\tilde{\rho}\frac{\partial\tilde{w}}{\partial\bar{t}} + \tilde{\rho}\tilde{u}\frac{\partial\tilde{w}}{\partial\bar{x}} + \tilde{\rho}\tilde{v}\frac{\kappa_{z}}{k_{z}}\frac{\partial\tilde{w}}{\partial y} + \tilde{\rho}\tilde{w}\frac{\partial\tilde{w}}{\partial z} =$$

$$^{285} \quad \frac{\kappa_{z}^{2}}{k_{z}^{2}}\left\{-\frac{\partial\tilde{p}}{\partial z} + \frac{\partial}{\partial z}\left[\frac{2}{3}\tilde{\mu}\left(2\frac{\partial\tilde{w}}{\partial z} - \frac{\kappa_{z}}{k_{z}}\frac{\partial\tilde{v}}{\partial y}\right)\right] + \frac{\partial}{\partial y}\left[\tilde{\mu}\left(\frac{\kappa_{z}}{k_{z}}\frac{\partial\tilde{v}}{\partial z} + \frac{\partial\tilde{w}}{\partial y}\right)\right] - \frac{\partial}{\partial z}\left(\frac{2}{3}\tilde{\mu}\frac{\partial\tilde{u}}{\partial\bar{x}}\right) + \frac{\partial}{\partial\bar{x}}\left(\tilde{\mu}\frac{\partial\tilde{u}}{\partial z}\right)\right\},$$

$$(2.9)$$

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$$\tilde{\rho}\frac{\partial T}{\partial \bar{t}} + \tilde{\rho}\tilde{u}\frac{\partial T}{\partial \bar{x}} + \tilde{\rho}\tilde{v}\frac{\kappa_z}{k_z}\frac{\partial T}{\partial y} + \tilde{\rho}\tilde{w}\frac{\partial T}{\partial z} = \frac{\kappa_z^2}{k_z^2} \left\{ \frac{1}{Pr} \left[ \frac{\partial}{\partial y} \left( \tilde{\mu}\frac{\partial \tilde{T}}{\partial y} \right) + \frac{\partial}{\partial z} \left( \tilde{\mu}\frac{\partial \tilde{T}}{\partial z} \right) \right] + (\gamma - 1)\mathcal{M}_{\infty}^2 \tilde{\mu} \left[ \left( \frac{\partial \tilde{u}}{\partial y} \right)^2 + \left( \frac{\partial \tilde{u}}{\partial z} \right)^2 \right] \right\}.$$
(2.10)

The flow is decomposed as the sum of the compressible Blasius flow and the perturbations induced by the FVD, namely,

291 
$$\left\{\tilde{u}, \tilde{v}, \tilde{w}, \tilde{p}, \tilde{T}\right\} = \left\{U, V, 0, \frac{1}{\gamma \mathcal{M}_{\infty}}, T\right\} + r_t \left\{\bar{u}, \bar{v}, \bar{w}, \bar{p}, \bar{\tau}\right\} \left(\bar{x}, \eta, z, \bar{t}\right),$$
(2.11)

292 where  $\{U, V\} = \{F'(\eta), T(\eta_c F' - F) / \sqrt{2\bar{x}}\}, T = T(\eta),$ 

293 
$$\eta = \sqrt{\frac{R_{\Lambda}}{2x}} \int_0^y \rho(\bar{x}, \check{y}) d\check{y}, \quad \eta_c = \frac{1}{T} \int_0^\eta T(\check{\eta}) d\check{\eta}, \quad (2.12)$$

and  $\rho = T^{-1}$ . The prime denotes differentiation with respect to the similarity variable  $\eta$ . The compressible Blasius functions  $F(\eta)$  and  $T(\eta)$  are solutions to the boundary-value problem,

$$\begin{array}{c} (\mu F''/T)' + FF'' = 0, \\ (\mu T'/T)' + PrFT' + \mu(\gamma - 1)Pr\mathcal{M}_{\infty}^{2}(F'')^{2}/T = 0, \\ F = F' = 0, \quad T = T_{w}, \quad \text{at} \quad \eta = 0, \\ F' \to 1, \quad T' = 0, \quad \text{as} \quad \eta \to \infty, \end{array} \right\}$$

$$(2.13)$$

where the Prandtl number Pr is assumed constant, Pr = 0.707, the dynamic viscosity is  $\mu(T) = T^{\omega}$  with  $\omega = 0.76$  (Stewartson 1964) and the thermal conductivity is  $\kappa = \mu$ . Curvature effects are negligible at leading order in system (2.13) because of the assumptions  $R_{\Lambda} \gg 1$  and  $r_0 \gg 1$  (Hall 1983).

The density is decomposed as  $\tilde{\rho} = T^{-1} + r_t \bar{\rho}$ , where, using the equation of state for a perfect gas,  $\bar{\rho} = -\bar{\tau}/T^2 - r_t \bar{\rho} \bar{\tau}/T + O(k_x R_\Lambda^{-1})$ . The viscosity is expressed as  $\tilde{\mu} = (T + r_t \tau)^{\omega}$ and expanded using the binomial formula as in equation (2.21) of Marensi *et al.* (2017).

304 The boundary-layer disturbance consists of all temporal and spanwise harmonics,

305 
$$\{\bar{u}, \bar{v}, \bar{w}, \bar{p}, \bar{\tau}\} = \sum_{m,n=-\infty}^{\infty} \left\{ \hat{u}_{m,n}(\bar{x},\eta), \sqrt{2\bar{x}} \hat{v}_{m,n}(\bar{x},\eta), k_z^{-1} \hat{w}_{m,n}(\bar{x},\eta), \hat{p}_{m,n}(\bar{x},\eta), \hat{p}_{m,n}(\bar{x},\eta) \right\} e^{\mathrm{i}m\bar{t}+\mathrm{i}nk_z z}.$$
 (2.14)

As the physical quantities are real, the Fourier coefficients are Hermitian,  $\hat{q}_{-m,-n} = (\hat{q}_{m,n})_{cc}$ , where  $\hat{q}$  stands for any of  $\{\hat{u}, \hat{v}, \hat{w}, \hat{p}, \hat{\tau}\}$ . Inserting expressions (2.11) and (2.14) into the nonlinear boundary-region equations (2.6)-(2.10) yields the governing equations for the disturbance Fourier coefficients.

#### The continuity equation: 311

312 
$$\frac{\eta_c}{2\bar{x}}\frac{T'}{T}\hat{u}_{m,n} + \frac{\partial\hat{u}_{m,n}}{\partial\bar{x}} - \frac{\eta_c}{2\bar{x}}\frac{\partial\hat{u}_{m,n}}{\partial\eta} - \frac{T'}{T^2}\hat{v}_{m,n} + \frac{1}{T}\frac{\partial\hat{v}_{m,n}}{\partial\eta} + \mathrm{i}n\hat{w}_{m,n}$$

313 
$$-\left(\frac{\mathrm{i}m}{T} + \frac{1}{2\bar{x}}\frac{FT'}{T^2}\right)\hat{\tau}_{m,n} - \frac{F'}{T}\frac{\partial\hat{\tau}_{m,n}}{\partial\bar{x}} + \frac{1}{2\bar{x}}\frac{F}{T}\frac{\partial\hat{\tau}_{m,n}}{\partial\eta} = r_t\hat{C}_{mn}.$$
 (2.15)

# 314 The *x*-momentum equation:

315 
$$\left(\operatorname{im}-\frac{\eta_c}{2\bar{x}}F''+n^2\kappa_z^2\mu T\right)\hat{u}_{m,n}+F'\frac{\partial\hat{u}_{m,n}}{\partial\bar{x}}-\frac{1}{2\bar{x}}\left(F+\frac{\mu'T'}{T}-\frac{\mu T'}{T^2}\right)\frac{\partial\hat{u}_{m,n}}{\partial\eta}$$

$$-\frac{1}{2\bar{x}}\frac{\mu}{T}\frac{\partial^{2}\hat{u}_{m,n}}{\partial\eta^{2}} + \frac{F''}{T}\hat{v}_{m,n} + \frac{1}{2\bar{x}T}\left(FF'' - \mu''F''T' + \frac{\mu'F''T'}{T} - \mu'F'''\right)\hat{\tau}_{m,n} \\ -\frac{1}{2\pi}\frac{\mu'F''}{T}\frac{\partial\hat{\tau}_{m,n}}{\partial\eta^{2}} = r_{t}\hat{\chi}_{mn}.$$
(2.16)

317 
$$-\frac{1}{2\bar{x}}\frac{\mu'F''}{T}\frac{\partial\bar{\tau}_{m,n}}{\partial\eta} = r_t\hat{X}_{mn}.$$
 (2)

The *y*-momentum equation: 318

319 
$$\frac{1}{4\bar{x}^2} \left[ \eta_c \left( FT' - F'T \right) - \eta_c^2 F''T + FT \right] \hat{u}_{m,n} + \frac{\mu'T'}{3\bar{x}} \frac{\partial \hat{u}_{m,n}}{\partial \bar{x}} - \frac{\mu}{6\bar{x}} \frac{\partial^2 \hat{u}_{m,n}}{\partial \bar{x}\partial \eta} \right]$$
320 
$$+ \frac{\eta_c \mu}{12\bar{x}^2} \frac{\partial^2 \hat{u}_{m,n}}{\partial \eta^2} + \frac{1}{12\bar{x}^2} \left( \eta_c \mu'T' + \mu - \frac{\eta_c \mu T'}{T} \right) \frac{\partial \hat{u}_{m,n}}{\partial \eta}$$

321 
$$+ \left[\frac{1}{2\bar{x}}\left(F' + \eta_c F'' - \frac{FT'}{T}\right) + \mathrm{i}m + n^2 \kappa_z^2 \mu T\right] \hat{v}_{m,n}$$

$$\frac{\partial \hat{v}}{\partial r} = 1 \left[2 - \left(\mu T' - v\right) - \frac{F}{2}\right] \partial \hat{v} = 2 - \mu \partial^2 \hat{v} = -\mu' T'$$

322 
$$+F'\frac{\partial v_{m,n}}{\partial \bar{x}} + \frac{1}{\bar{x}} \left[ \frac{2}{3T} \left( \frac{\mu T}{T} - \mu' T' \right) - \frac{F}{2} \right] \frac{\partial v_{m,n}}{\partial \eta} - \frac{2}{3\bar{x}} \frac{\mu}{T} \frac{\partial^2 v_{m,n}}{\partial \eta^2} + \ln \frac{\mu T}{3\bar{x}} \hat{w}_{m,n}$$
323 
$$-in \frac{\mu}{2} \frac{\partial \hat{w}_{m,n}}{\partial \eta} + \frac{1}{2} \frac{\partial \hat{p}_{m,n}}{\partial \eta}$$

323 
$$-in\frac{\mu}{6\bar{x}}\frac{\partial n}{\partial \eta} + \frac{1}{2\bar{x}}\frac{\partial p}{\partial \eta}\frac{\partial p}{\partial \eta}$$

$$324 + \left[\frac{1}{3\bar{x}^{2}T}\left(\mu''FT'^{2} - \frac{\mu'FT'^{2}}{T} + \mu'FT'' + \mu'F'T'\right) - \frac{1}{4\bar{x}^{2}}\left(F'F - \eta_{c}F'^{2} - \eta_{c}FF''\right) \right]$$
  
$$325 + \frac{F^{2}T'}{T} + \mu'F'' + \eta_{c}\mu''F''T' - \frac{\eta_{c}\mu'F''T'}{T} + \eta_{c}F'''\mu'\right]\hat{\tau}_{m,n} + \frac{\mu'}{\bar{x}^{2}}\left(\frac{FT'}{3T} - \frac{\eta_{c}F''}{4}\right)\frac{\partial\hat{\tau}_{m,n}}{\partial\eta}$$

326 
$$-\frac{\mu' F''}{2\bar{x}} \frac{\partial \hat{\tau}_{m,n}}{\partial \bar{x}} + \frac{\mathcal{G}}{\sqrt{2\bar{x}}} \left( 2F' \hat{u}_{m,n} - \frac{F'^2}{T} \hat{\tau}_{m,n} \right)$$

327 
$$= r_t \left[ \hat{\mathcal{Y}}_{mn} - \frac{\mathcal{G}}{\sqrt{2\bar{x}}} \left( 2F'T\widehat{\bar{\rho}\bar{u}} + \widehat{\bar{u}\bar{u}} + r_tT\widehat{\bar{\rho}\bar{u}\bar{u}} \right) - F'^2\widehat{\bar{\rho}\bar{\tau}} \right].$$
(2.17)

#### The *z*-momentum equation: 328

329 
$$\frac{\mathrm{i}n\kappa_z^2\eta_c\mu'TT'}{2\bar{x}}\hat{u}_{m,n} - \frac{\mathrm{i}n\kappa_z^2\mu}{3}\frac{\partial\hat{u}_{m,n}}{\partial\bar{x}} + \frac{\mathrm{i}n\kappa_z^2\eta_c\mu}{6\bar{x}}\frac{\partial\hat{u}_{m,n}}{\partial\eta} - \mathrm{i}n\kappa_z^2\mu'T'\hat{v}_{m,n} - \frac{\mathrm{i}n\kappa_z^2\mu}{3}\frac{\partial\hat{v}_{m,n}}{\partial\eta}$$

$$+\left(\frac{4}{3}n^{2}\kappa_{z}^{2}\mu T+\mathrm{i}m\right)\hat{w}_{m,n}+F'\frac{\partial\hat{w}_{m,n}}{\partial\bar{x}}+\frac{1}{2\bar{x}}\left(\frac{\mu T'}{T^{2}}-F-\frac{\mu'T'}{T}\right)\frac{\partial\hat{w}_{m,n}}{\partial\eta}-\frac{1}{2\bar{x}}\frac{\mu}{T}\frac{\partial^{2}\hat{w}_{m,n}}{\partial\eta^{2}}$$

331 
$$+in\kappa_z^2 T \hat{p}_{m,n} - \frac{in\kappa_z^2}{3\bar{x}} \mu' FT' \hat{\tau}_{m,n} = r_t \hat{\mathcal{Z}}_{mn}.$$
 (2.18)

332 The energy equation:

333 
$$-\frac{\eta_c}{2\bar{x}}T'\hat{u}_{m,n} + \frac{T'}{T}\hat{v}_{m,n} + \left[\frac{FT'}{2\bar{x}T} + \mathrm{i}m + \frac{n^2\kappa_z^2\mu}{Pr} - \frac{1}{2\bar{x}Pr}\left(\frac{\mu'T'}{T}\right)'\right]\hat{\tau}_{m,n} + F'\frac{\partial\hat{\tau}_{m,n}}{\partial\bar{x}}$$

334 
$$+\frac{1}{2\bar{x}}\left(\frac{\mu T'}{PrT^2}-F-\frac{2\mu'T'}{PrT}\right)\frac{\partial\hat{\tau}_{m,n}}{\partial\eta}-\frac{1}{2\bar{x}Pr}\frac{\mu}{T}\frac{\partial^2\hat{\tau}_{m,n}}{\partial\eta^2}$$

335 
$$-\mathcal{M}_{\infty}^{2}\frac{\gamma-1}{\bar{x}T}\left(\mu F^{\prime\prime}\frac{\partial\hat{u}_{m,n}}{\partial\eta}+\frac{\mu^{\prime}F^{\prime\prime2}}{2}\hat{\tau}_{m,n}\right)=r_{t}\hat{\mathcal{E}}_{mn}.$$
(2.19)

where  $\mu' = d\mu/dT$  and the nonlinear terms  $\hat{C}_{mn}$ ,  $\hat{X}_{mn}$ ,  $\hat{\mathcal{Y}}_{mn}$ ,  $\hat{\mathcal{E}}_{mn}$  are given in equations (A1)-(A5) of Marensi *et al.* (2017). The nonlinear terms collected on the right-hand sides of equations of (2.15)-(2.19) vanish as  $r_t \to 0$  and the linearised boundary-region equations of Viaro & Ricco (2019*a*) are recovered.

In the boundary layer, the velocity and temperature fluctuations induced near the leading edge are of small amplitude, and thus evolve linearly in this region. Curvature effects near the leading edge are also negligible and therefore the initial conditions for the forced modes  $(m, n) = (1, \pm 1)$  are the same as those in the linear flat-plate case (Ricco & Wu 2007). The initial conditions are given in Appendix A. Matching the boundary-region solution with the outer solution gives the outer boundary conditions,

$$\left\{\hat{u}_{m,n}, \hat{v}_{m,n}, \hat{w}_{m,n}, \hat{p}_{m,n}, \hat{\tau}_{m,n}\right\} \to \left\{0, \frac{\kappa_z}{\sqrt{2\bar{x}}} v_{m,n}^{\dagger}, \kappa_z^2 w_{m,n}^{\dagger}, \frac{\epsilon}{k_x} p_{m,n}^{\dagger}, 0\right\} \text{ as } \eta \to \infty, \quad (2.20)$$

where  $v_{m,n}^{\dagger}, w_{m,n}^{\dagger}, p_{m,n}^{\dagger}$  are given by equations (2.76) in Marensi *et al.* (2017). The initialboundary-value problem, consisting of equations (2.15)-(2.19), (A 1)-(A 5) and (2.20), governs the excitation and nonlinear evolution of Görtler vortices in the presence of free-stream vortical disturbances for  $r_t = O(1)$ ,  $\mathcal{G} = O(1)$  and  $\mathcal{M}_{\infty} = O(1)$ .

350 2.1. Secondary instability

The velocity and temperature profiles altered by nonlinearity are sensitive to high-frequency secondary disturbances as they exhibit inflection points in the transverse and spanwise directions during certain phases of the oscillations. These high-frequency secondary disturbances amplify and ultimately cause transition to turbulence in boundary layers over the pressure surface of turbine blades (Butler *et al.* 2001) and in wind-tunnel experiments (Ghorbanian *et al.* 2011). A secondary instability analysis of the boundary-layer flow perturbed by nonlinear disturbances is therefore carried out to elucidate the transition process.

The flow q is decomposed into a base flow  $\tilde{q}(y, z; \bar{x}, \bar{t})$ , given by (2.11), and a secondary perturbation  $q'_s(x, y, z, t)$ , namely

360 
$$q(y, z; x, t) = \tilde{q} + \epsilon_s q'_s = \tilde{q} + \epsilon_s \left\{ \rho'_s, u'_s, v'_s, w'_s, T'_s \right\} (x, y, z, t),$$
(2.21)

where  $\epsilon_s \ll 1$ . Substituting expression (2.21) into the full compressible Navier-Stokes equations and neglecting the  $O(\epsilon_s^2)$  nonlinear terms, we obtain the linearised compressible Navier-Stokes equations. Since the base-flow  $\tilde{u}$  and  $\tilde{T}$  vary slowly with  $\bar{x}$  and  $\bar{t}$ , the dependence on these two variables can be treated as being parametric when the short-wavelength (of order  $\delta^*$ ) and the high-frequency (of order  $U_{\infty}/\delta^*$ ) instability is considered. A solution is sought in the normal-mode form

367  $q'_{s}(x, y, z, t) = q_{s}(y, z) \exp[i(\alpha x - \omega t)] + c.c., \qquad (2.22)$ 

368 where  $\alpha$  is the streamwise wavenumber and  $\omega$  is the frequency of the secondary disturbance.

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The shape function  $q_s(y, z) = \{u_s, v_s, w_s, T_s\}$  is governed by a system of partial differential equations, supplemented by homogeneous boundary conditions,  $\{u_s, v_s, w_s, T_s\} = 0$  at y = 0and  $\{u_s, v_s, w_s, T_s\} \rightarrow 0$  as  $y \rightarrow \infty$ .

For a spanwise-periodic base flow  $\tilde{q}$ , the solution for  $q_s$  can be expressed using Floquet theory as

$$q_s = e^{i\gamma\beta z} \sum_{k=-\infty}^{\infty} \phi_{s,k}(y) e^{ik\beta z},$$
(2.23)

where  $\beta$  is the spanwise wavenumber and  $0 \le \gamma \le 1/2$ . Fundamental modes ( $\gamma = 0$ ), subharmonic modes ( $\gamma = 1/2$ ) and detuned modes ( $0 < \gamma < 1/2$ ) are all part of the same branch of instability modes but with varying spanwise wavelengths. The growth rate of the modes was found to be insensitive to the Floquet parameter (Ren & Fu 2015).

# 379 3. Numerical procedures

374

380 The initial-boundary-value problem, i.e. the nonlinear boundary-region equations (2.15)-(2.19) supplemented by the initial conditions (A 1)-(A 5) and the outer boundary conditions 381 (2.20), is solved numerically. The boundary-region equations are parabolic in the streamwise 382 direction and therefore can be solved by a marching procedure in the  $\bar{x}$ -direction. A second-383 order backward finite-difference scheme in the  $\bar{x}$ -direction and a second-order central finite-384 difference scheme in the  $\eta$ -direction are employed. In order to avoid the pressure decoupling 385 phenomenon, the pressure is computed on a grid that is staggered in the  $\eta$ -direction with 386 respect to the grid for the velocity components and temperature. The nonlinear terms are 387 evaluated using the pseudo-spectral method. In order to prevent aliasing errors, i.e. the 388 spurious energy cascade from the unresolved high-frequency modes into the resolved low-389 frequency ones, the 3/2-rule is applied (Canuto et al. 1988). The resulting block tri-diagonal 390 391 system is solved using a standard block-elimination algorithm. A second-order predictorcorrector under-relaxation scheme is used to calculate the nonlinear terms while marching 392 downstream, as in the computation of incompressible Görtler vortices by Xu et al. (2017). 393 The use of under-relaxation for capturing the generation of nonlinear streaks was deemed 394 unnecessary by Marensi et al. (2017). However, it is needed in our analysis to stabilise the 395 396 computations, given the high growth rate and intensity exhibited by Görtler vortices. The wall-normal domain extends to  $\eta_{max} = 60$  and 2000 grid points are used in this direction. 397 The typical step size in the marching direction is  $\Delta \bar{x} = 0.01$ . To capture the nonlinear effects, 398 it is sufficient to use  $N_t=17$  modes to discretise time and  $N_z=17$  modes to discretise the 399 spanwise direction. 400

The equations governing the secondary instability are discretised using a five-point finitedifference scheme with fourth-order accuracy along the wall-normal direction and Fourier spectral expansion along the spanwise direction. The code was used by Song, Zhao & Huang (2020) to perform a secondary instability analysis of nonlinear stationary vortices.

#### 405 **4. Results**

406

#### 4.1. Flow parameters

The nonlinear boundary-layer disturbances are studied for parameters that characterise flows over high-pressure turbine blades. The flow parameters chosen as reference are given in table 1. As discussed in Marensi *et al.* (2017), they are inspired by typical experimental works on turbomachinery applications, such as Arts *et al.* (1990) and Camci & Arts (1990). In the figure captions, only the parameters that are varied in the figure are given. In all our

Table 1: Reference flow parameters.

412 computations, the scaled amplitudes of the free-stream velocity components are  $\hat{u}_{x,\pm}^{\infty} = 413$   $\hat{u}_{y,\pm}^{\infty} = 1$  and  $\hat{u}_{z,\pm}^{\infty} = \pm 1$ . The continuity relation (2.2) reduces to  $k_x + k_y \pm 1 = 0$ .

The adiabatic wall temperature is calculated using the relation valid for a perfect gas,  $T_{ad} =$ 414  $1 + (\gamma - 1)\sqrt{Pr}\mathcal{M}_{\infty}^2/2$ . The non-dimensional wall temperature is  $T_w = 0.75$  as blade cooling 415 is often applied to avoid excessive wall-heat transfer. The axial chord length of the turbine 416 blade is  $C_{ax}^* = 0.0388$  m. This length corresponds to the maximum streamwise coordinate 417  $\bar{x} = 0.558$  for  $k_x = 7.3 \cdot 10^{-3}$ , our chosen frequency representative of the experiments of Arts 418 et al. (1990) and Camci & Arts (1990). The reference radius of curvature is  $r_0^* = 1.4$  m and 419 the spanwise length scale is  $\Lambda^* = 0.89 \cdot 10^{-3}$  m, corresponding to a Görtler number  $\mathcal{G} = 35.2$ . 420 The FVD level varies between Tu = 1% and 6%, as in the experiments of Arts *et al.* (1990). 421 For the form of perturbations assumed here, the FVD level Tu is related to the FVD intensity 422  $\epsilon$  by  $Tu(\%) = 100 \cdot 2\epsilon \left(\hat{u}_{x,+}^{\infty 2} + \hat{u}_{x,-}^{\infty 2}\right)^{1/2}$ . 423 We investigate the effect of three parameters on the evolution of boundary-layer distur-424

bances, i.e. the Görtler number  $\mathcal{G}$ , the FVD level Tu and the Mach number  $\mathcal{M}_{\infty}$ . Boundary-425 layer transition is also affected by the free-stream disturbance length scales (e.g. as recently 426 shown by Fransson & Shahinfar (2020)). The impact of  $k_x$  on the evolution of the boundary-427 layer disturbances was studied in detail in our previous studies (Marensi et al. 2017; Xu 428 et al. 2017; Marensi & Ricco 2017) and similar effects are expected in the present case. 429 Furthermore, as verified in several experimental campaigns, boundary-layer disturbances 430 have a spanwise length that is comparable with the boundary-layer thickness and therefore 431 we fix  $\kappa_7, \kappa_{\nu} = O(1)$ . 432

The overall intensity of the disturbances is measured by the root-mean-square (r.m.s.) of the fluctuating quantity, defined as

435 
$$q_{rms,max}(\bar{x}) = \max_{\eta} q_{rms}(\bar{x},\eta) = \max_{\eta} r_t \sqrt{\sum_{m=-N_t}^{N_t} \sum_{n=-N_z}^{N_z} |\hat{q}_{m,n}|^2}, \quad m \neq 0,$$
(4.1)

where q stands for any quantity, but we focus on the streamwise velocity and the temperature because they are the leading-order variables.

#### 438 4.2. Velocity and temperature of the nonlinear boundary-layer disturbances

The effect of Görtler number on the downstream evolution of the streamwise and temperature 439 disturbances is studied first. The variation of Görtler number is achieved by adjusting the 440 boundary-layer curvature while keeping the frequency constant. Figure 2 depicts the down-441 stream development of  $u_{rms,max}$  and  $\tau_{rms,max}$  for four different Görtler numbers, including 442 the flat-wall case ( $\mathcal{G} = 0$ ) and a convex-wall case ( $\mathcal{G} = -281.6$ ). Two FVD levels are tested 443 (Tu = 1% and Tu = 6%). The coordinate  $x_s$  on top of the graphs is normalised by the axial 444 chord length  $C_{ax}^*$  (the end of the turbine blade is at  $x_s = 1.65$ ). For Tu = 1%, the concave 445 wall destabilises the flow, whereas the convex wall has a marked stabilising effect on the 446 growth of both the velocity and temperature disturbances. For Tu = 6%, the curvature has 447 little effect in the concave-wall case and is stabilising in the convex-wall case. The evolutions 448 of the vortical structures for  $\mathcal{G} = 35.2$  and  $\mathcal{G} = 70.4$  are indeed almost the same as in the 449



Figure 2: Effect of Görtler number on the downstream development of  $u_{rms,max}$  and  $\tau_{rms,max}$  induced by (a, b) Tu = 1% and (c, d) Tu = 6%.

flat-wall case. The convex curvature is not influential up to  $\bar{x} = 0.35$  for such a higher FVD level. For the cases considered, the boundary-layer dynamics is therefore largely independent of the curvature up to  $x_s = 1.2$ , i.e. for most of the extent of the turbine blade.

Figure 3(a, b) shows the effect of the FVD level on the downstream development of 453  $u_{rms,max}$  and  $\tau_{rms,max}$  for  $\mathcal{G} = 35.2$ . For Tu = 1%, Görtler vortices undergo nonmodal 454 455 growth and gradually evolve to nonlinear saturation, similarly to incompressible cases (Xu et al. 2017; Marensi & Ricco 2017). For the high-intensity cases, Tu = 4% and Tu = 6%, 456 the vortices saturate after a much shorter nonmodal growth than in the Tu = 1% case. 457 The  $u_{rms,max}$  and  $\tau_{rms,max}$  saturate to almost the same level for different FVD intensities. 458 This behaviour is different from that of compressible streaks over flat plates, where the 459 perturbation intensity depends significantly on the FVD level (Marensi et al. 2017). As shown 460 in figure 3(c, d), the intensity of the disturbances evolving over convex walls is enhanced by 461 increasing the FVD level, similarly to the flat-wall case. 462

The Mach-number effect on the Görtler vortices is studied by keeping the Reynolds number, the frequency and the radius of curvature constant. The change of Mach number with a constant Reynolds number can be achieved through an adjustment of the total pressure (hence, the density), as in the experiments of Huang, Si & Lee (2021), and by use of the



Figure 3: Effect of FVD level on the downstream development of  $u_{rms,max}$  and  $\tau_{rms,max}$  over (a, b) concave wall ( $\mathcal{G} = 35.2$ ) and (c, d) convex wall ( $\mathcal{G} = -281.6$ ).

relation  $R_{\Lambda} = \mathcal{M}_{\infty} \rho_{\infty}^* \Lambda^* / (\sqrt{\gamma R^* T_{\infty}^*} \mu_{\infty}^*)$ , as discussed in Viaro & Ricco (2019*a*). Figure 4 shows the effect of Mach number on the evolution of Görtler vortices induced by lowintensity FVD (Tu = 1%) and high-intensity FVD (Tu = 6%). Figure 4(*a*) illustrates that the growth of the streamwise velocity is not influenced by the Mach number. The growth of the thermal disturbances is instead affected by the Mach number, as shown in figure 4(*b*). They are slightly stabilised as the Mach number increases within the subsonic range, unaffected in transonic conditions, and moderately enhanced in supersonic conditions.

The Mach-number effect in our cases is markedly different from that reported by Viaro & 474 475 Ricco (2019a) in their figure 6. Viaro & Ricco (2019a) showed that, as the Mach number increases from the incompressible condition, the r.m.s. of the streamwise velocity is attenu-476 ated, while the r.m.s. of the temperature increases for a short distance from the leading edge 477 and decreases further downstream. The difference in dynamics between our flows and those 478 in Viaro & Ricco (2019a) is due to the higher Görtler number and frequency of our cases. 479 As both these quantities become larger, the boundary-layer response becomes less sensitive 480 to a change in Mach number. 481

Figure 5 shows the development of the maximum amplitudes of the fundamental and the harmonic temperature Fourier modes for  $\mathcal{G} = 35.2, 0$  and -281.6. The Görtler number plays a different role at low (Tu = 1%) and high (Tu = 6%) FVD levels. In all cases, the fundamental



Figure 4: Effect of Mach number on the downstream development of  $u_{rms,max}$  and  $\tau_{rms,max}$  for (a, b) Tu = 1% and (c, d) Tu = 6%. The Görtler number is  $\mathcal{G} = 35.2$ .

485 modes  $(1,\pm 1)$  are initially dominant over all the other modes. For the case with  $\mathcal{G} = 35.2$ , shown in figures 5(a, b), the mean-flow distortion given by the mode (0,0) grows significantly 486 downstream, acquiring a magnitude larger than that of the fundamental modes  $(1,\pm 1)$ . The 487 crossover streamwise location moves closer to the leading edge as the FVD level increases. 488 The amplitude of the other harmonics remains smaller than that of the fundamental modes 489  $(1,\pm 1)$  at any location. In the flat-wall case for Tu = 1%, shown in figure 5(c), the crossover 490 491 of modes  $(1,\pm 1)$  and (0,0) also occurs and all the modes keep growing downstream up to saturation, but their amplitude is lower than that in the concave case. As shown in figure 492 5(e), for the convex-wall case and Tu = 1%, the fundamental modes  $(1,\pm 1)$  are dominant 493 over all the other harmonics and the overtake of the mean-flow distortion does not occur 494 within the streamwise distance studied. Differently from the flat-wall case, all the modes 495 grow and eventually decay in the convex-wall case. Figures 5(d, f) show that, in the flat-496 wall and convex-wall cases for Tu = 6%, the mode (0,0) surpasses the fundamental modes 497 (1,±1). For Tu = 6%, the crossover location moves closer to the leading edge as the Görtler 498 number increases. 499

Of particular interest are the streamwise velocity and temperature profiles of the perturbed boundary-layer flow. Figure 6 shows the instantaneous profiles at z = 0 and different phases  $\phi = k_x t$ , for three different Görtler numbers. For  $\mathcal{G} = 35.2$ , the profiles exhibit great variation



Figure 5: Development of the fundamental mode (m, n) = (1, 1) and the harmonic components (m, n) = (0, 0), (2, 0), (0, 2), (2, 2) of temperature disturbance for different Görtler numbers:  $(a,b) \mathcal{G} = 35.2, (c,d) \mathcal{G} = 0, (e,f) \mathcal{G} = -281.6$ , and FVD levels: (a,c,e)Tu = 1%, (b,d,f) Tu = 6%. Only modes with  $n \ge 0$  are shown as modes  $(m, \pm n)$  have the same amplitude for the free-stream disturbance of the assumed form.



Figure 6: Profiles of instantaneous (a, c, e) streamwise velocity and (b, d, f) temperature at  $\bar{x} = 0.54$ , z = 0 for Tu = 6% and different Görtler numbers.

with the phase, becoming highly inflectional at certain phases ( $\phi = \pi/2$  and  $3\pi/4$ ). This behaviour suggests that the flow may be inviscidly unstable. The variation becomes slightly weaker for the flat-wall case and subsides in the convex-wall case, for which the profiles are much less inflectional.

507 Contours of the instantaneous  $\tilde{u}$  and  $\tilde{T}$  in y-z planes are displayed in figure 7 for a moderate





Figure 7: Contours of the instantaneous (a - c) streamwise velocity and (d - f) temperature in the y - z plane for Tu = 1% at  $\bar{x} = 1.5$ . The increment of the contour values is 0.1 for the velocity and 0.05 for the temperature. The coordinate y is related to the similarity variable  $\eta$  via  $y = \sqrt{2x/R_{\Lambda}} \int_{0}^{\eta} T(\eta) d\eta$ .



Figure 8: Contours of the instantaneous (a - c) streamwise velocity and (d - f) temperature in the y - z plane for Tu = 6% at  $\bar{x} = 0.36$ . The increment of the contour values is 0.1 for the velocity and 0.05 for the temperature.

(4.3)

FVD level (Tu=1%) and in figure 8 for a high FVD level (Tu=6%). The contours are shown at phases where the disturbances obtain maximum amplitude and at sufficiently downstream locations where they have saturated. Figure 7 shows that, for the moderate FVD level Tu =1%, the velocity and temperature disturbances exhibit the typical mushroom shape in the concave case, while the bell shape, characteristic of streaky structures, appears in the flatwall case. The flow remains largely undisturbed when the wall is convex.

We note that the mushroom shapes could be observed over a concave plate in a wind tunnel because of the long extent of the test section. However, the downstream locations of figures 7(a) and 7(d) are too large for these structures to be observed in practical turbomachinery applications because of the limited length of turbine blades. As shown by the abscissas at the top of figure 2(a), locations beyond  $\bar{x} = 1$  considerably exceed the length of a turbine blade, estimated to be  $x_s = 1.65$  by using the flow parameters in the experiments of Arts *et al.* (1990).

Figure 8 shows that, for the high-intensity FVD level Tu = 6%, the boundary-layer 521 disturbances do not exhibit the typical mushroom shape for  $\mathcal{G} = 35.2$  and instead resemble 522 the streaks evolving over a flat plate. This occurrence is due to the destabilising effect of the 523 concave wall not being sufficiently intense to alter the character of the disturbances when 524 the FVD level is large. Figures 8(c, f) show that the stabilising effect of the convex wall is 525 also insignificant in the presence of high-intensity FVD as the nonlinear disturbances over 526 convex walls also resemble streaks over a flat plate. This dynamics is in stark contrast with 527 the quiet environment observed in figure 7(c,f) for the convex-wall case at the lower FVD 528 level Tu = 1%. 529

#### 530

534

# 4.3. Wall-shear stress and wall-heat transfer

Motivated by the dominance of the velocity and temperature modes (0,0) observed in figure 5, we study the streamwise evolution of the skin-friction coefficient and Stanton number,

533 defined as (Anderson 2000)

$$C_f = \frac{2\mu_w}{R_\Lambda} \frac{\partial \left(U + r_t \hat{u}_{0,0}\right)}{\partial y} \bigg|_{y=0}, \qquad (4.2)$$

$$S_t = \frac{\kappa_w}{(T_{ad} - T_w)R_{\Lambda} Pr} \frac{\partial \left(T + r_t \hat{\tau}_{0,0}\right)}{\partial y} \bigg|_{y=0},$$

536 where 
$$\mu_w$$
 and  $\kappa_w$  are constant because the wall is isothermal.

Another quantity of interest is the Reynolds analogy factor,  $R_a = 2S_t/C_f$  (Roy & Blottner 537 2006), shown in figure 9. It can be utilised to obtain either  $C_f$  or  $S_t$  when the other quantity 538 is known. Bons (2005) showed that the Reynolds analogy factor depends on the pressure 539 540 gradient, but it is almost constant for a boundary layer without a pressure gradient. The solid grey line in figure 9 denotes the so-called Chilton-Colburn relation for incompressible lami-541 nar boundary layers, namely,  $R_a = Pr^{-2/3}$  (Chilton & Colburn 1934), based on experimental 542 data. The Chilton–Colburn value is slightly higher than  $R_a = 1.25$ , obtained using the Blasius 543 544 boundary-layer theory. The dashed grey line denotes the value for turbulent boundary layers, reported by Bons (2005). Figure 9 shows that the Reynolds analogy factor for nonlinear 545 Görtler vortices slightly decreases downstream and lies between the laminar and turbulent 546 values. This result is expected since the Görtler vortices develop in a transitional boundary 547 layer. Our computations also show that, as the FVD level increases, the Reynolds analogy 548 factor decreases. This behaviour is opposite to that found by Bons (2005) for turbulent 549 550 boundary layers.

Figures 10(a, b) show the comparison between our computed skin-friction coefficients and other experimental and numerical data. In figure 10(a), the skin-friction coefficient



Figure 9: Reynolds analogy factor along the streamwise direction for different FVD levels at  $\mathcal{M}_{\infty} = 0.69$ . The solid grey line indicates the Reynolds analogy factor for the incompressible laminar flow and the dashed line indicates the experimental measurement of an incompressible turbulent boundary layer by Bons (2005).

is largely unaffected by the FVD level for  $Tu \leq 1\%$  and it increases with Tu for Tu > 1%. 553 These results are consistent with the experimental data of Radomsky & Thole (2002). As 554 evidenced in figure 8a of Radomsky & Thole (2002), their measured skin friction on the 555 pressure side of a turbine blade for Tu = 0.6% is almost the same as that of the laminar 556 flow. Our figure 10(b) shows that their skin-friction coefficient is enhanced by an increase 557 of FVD level. The decrease of skin-friction coefficient with  $x_s$  is also in agreement with 558 our result in figure 10(a) as the pressure gradient is not included in our calculations and 559 it is very small in Radomsky & Thole (2002). The main difference is that our skin-friction 560 coefficient becomes almost independent of the streamwise location for Tu = 6%, while their 561 skin friction coefficient keeps decreasing at all FVD levels, for Tu as large as 19.5%. 562

Figure 10(b) also shows the experimental data of Arts *et al.* (1990). As the wall-shear 563 stress was not measured by Arts et al. (1990), we have used their wall-heat transfer data 564 and computed the skin-friction coefficients via our Reynolds analogy factors. Considering 565 that the Reynolds analogy is not strictly valid in pressure-gradient and transitional flows, our 566 estimate of the skin-friction coefficient can only be regarded as qualitative. Their skin-friction 567 coefficients are enhanced as the FVD level increases, consistently with our results, and grow 568 downstream following the initial decay. This result is markedly different from the decaying 569 trends obtained in our computations and reported by Radomsky & Thole (2002). A reason 570 behind this discrepancy is the difference in geometry of the turbine blades, which leads to 571 different pressure gradients. In the experiments of Radomsky & Thole (2002), the pressure 572 573 gradient is significantly lower than that of Arts et al. (1990), while in our computations the pressure gradient is absent. The direct numerical simulations conducted by Zhao & Sandberg 574 (2020) led to skin-friction coefficients that were independent of the FVD level (refer to their 575 figure 7), a result that remains unexplained. 576

The wall-heat flux over turbine-blade surfaces is also of interest since experimental measurements have shown its significant enhancement over pressure surfaces (Arts *et al.* 1990; Butler *et al.* 2001). As with the skin-friction coefficient, our computed Stanton numbers are



Figure 10: Comparison of (*a*) the computed skin-friction coefficients with (*b*) the experimental data of Arts *et al.* (1990) (A) and Radomsky & Thole (2002) (RT). The coefficients are normalised by the value  $C_{f0}$  at  $x_s = 0.06$ . The line in (*b*) shows the skin-friction coefficient computed by large eddy simulations (LES) without inflow disturbances (Bhaskaran & Lele 2010).



Figure 11: Comparison of (*a*) the computed Stanton numbers with (*b*) the experimental data of Arts *et al.* (1990) (A). The Stanton numbers are normalised by the value  $S_{t0}$  at  $x_s = 0.06$ . The line in (*b*) shows the Stanton number computed by large eddy simulations (LES) without inflow disturbances (Bhaskaran & Lele 2010).

580 unaffected by the change of FVD level up to Tu = 1% and are enhanced by the FVD level for Tu > 1%, as reported in figure 11(a). As shown in figure 11(b), the large-eddy simulations 581 of Bhaskaran & Lele (2010) resulted in an intensified laminar wall-heat transfer following 582 the initial decay, a phenomenon not observed in our computations. As with the skin-friction 583 coefficient, this discrepancy arises from the absence of streamwise pressure gradient in our 584 case. Figure 11(b) also depicts the experimental data of Arts *et al.* (1990). Their wall-heat 585 transfer for Tu = 1% is only slightly larger than the laminar value and increases with the 586 FVD level Tu > 1%. Both results agree with our computations and with the response of the 587 skin friction to a change of FVD level. Ours is the first numerical verification of the effect of 588 FVD level in the experiments of Arts et al. (1990), although the effect of streamwise pressure 589 gradient needs to be further investigated. 590



Figure 12: (a - c) Time-averaged wall-shear stress  $\mathcal{F}(x_s, z_s)$ , defined in equation (4.4), for different FVD levels. Graph (*d*) is the contour of the timed-averaged streamwise velocity streaks, given by mode (0,2). The wall-normal coordinate is  $y_s = y^*/C_{ax}^*$ . The Görtler number is  $\mathcal{G} = 35.2$ .

591 Figures 12(a - c) show the time-averaged wall-shear stress,

$$\mathcal{F}(x_s, z_s) = \mu_w \left. \frac{\partial U}{\partial y} \right|_{y=0} + \mu_w r_t \sum_{n=-\infty}^{\infty} \left. \frac{\partial \hat{u}_{0,n}}{\partial y} \right|_{y=0} \mathrm{e}^{\mathrm{i} n k_z z}, \tag{4.4}$$

where  $z_s = z^*/C_{ax}^*$ . As the leading edge is approached,  $\mathcal{F} \sim \mu_w \left(F''(0)/T_w\right) \sqrt{R_\Lambda/(2x)} =$ 1.70/ $\sqrt{x_s}$ . The region close to the leading edge experiences an intense  $\mathcal{F}$  that is almost uniform along the spanwise direction. Further downstream, a distinct streaky structure emerges, characterised by alternating streamwise-elongated low- $\mathcal{F}$  and high- $\mathcal{F}$  regions. These patterns become longer as the FVD level increases from Tu = 1% to Tu = 6%. They are induced by the steady mode (0,2), as shown in figure 12(d).

599 Figures 13(a - c) show the time-averaged wall-heat transfer,

$$Q(x_s, z_s) = -\kappa_w \left. \frac{\partial T}{\partial y} \right|_{y=0} - \kappa_w r_t \sum_{n=-\infty}^{\infty} \left. \frac{\partial \hat{\tau}_{0,n}}{\partial y} \right|_{y=0} e^{ink_z z}.$$
(4.5)

As the leading edge is approached,  $Q \sim -\kappa_w (T'(0)/T_w) \sqrt{R_{\Lambda}/(2x)} = -0.41/\sqrt{x_s}$ . The 601 spanwise streaky pattern observed in figure 12(a-c) for the wall-shear stress is also detected 602 for the wall-heat flux Q, although Q is less affected by the FVD level than  $\mathcal{F}$ . Similarly to 603  $\mathcal{F}$ , the wall-heat flux modulation is induced by the steady mode (0,2), as shown in figure 604 13(d). Our calculations qualitatively reproduce the experimental findings by Butler *et al.* 605 (2001), shown in figure 13(e) and also discussed in Baughn *et al.* (1995). These streaky 606 607 thermal patterns were obtained by liquid crystals on the pressure side of a turbine blade and have been termed hot fingers. Similarly to our numerical results, the picture in figure 608

592



Figure 13: (a - c) Absolute value of the time-averaged wall-heat transfer,  $|Q(x_s, z_s)|$ , defined in equation (4.5), for different FVD levels. Graph (*d*) is a contour of the timed-averaged temperature streaks, given by mode (0,2). Graph (*e*) shows the experimental measurements of Butler *et al.* (2001). The Görtler number is  $\mathcal{G} = 35.2$ .

13(e) shows that the high-Q regions are elongated in the streamwise direction. However, the 609 hot fingers in the experiments display a thin shape upstream before broadening downstream, 610 a feature not observed in our numerical results. This difference could be ascribed to the 611 variation of the streamwise pressure gradient along the blade and to the full spectrum of free-612 stream turbulence in the experiments, effects that are not included in our computations. Butler 613 et al. (2001) and Baughn et al. (1995) realised the importance of the FVD intensity on the 614 formation of these patterns, although the occurrence of Görtler vortices was not confirmed. 615 High-frequency secondary instability disturbances may influence the trailing edge of the 616 hot fingers, potentially inducing small serrated structures as those depicted in figure 13(e). 617 These smaller structures are however less significant than the low-frequency components of 618 the streaks in the formation of the hot fingers and are not computed herein because of our 619 low-frequency assumption. They are discussed in Huang et al. (2021) and Feng et al. (2024). 620 Figure 14 illustrates the influence of Mach number on the enhancement of the spanwise 621 modulated patterns of the wall-shear stress and the wall-heat flux. As the Mach number 622 increases at a constant Reynolds number, the skin friction is not affected, while the wall-heat 623 flux is significantly enhanced. We conclude that increasing the turbulence level enhances 624 both the wall-shear stress and the wall-heat transfer, whereas increasing the Mach number 625 only enhances the wall-heat transfer. 626

#### 627

# 4.4. Occurrence map for Görtler vortices and streaks

As discussed in §4.2, both the FVD level and the wall curvature determine whether the boundary-layer disturbances evolve as Görtler vortices or streaks. It is thus useful to discern which type of disturbance occurs under which conditions. We utilise the top graph in figure to this end. It shows the evolution of  $u_{rms,max}$  for  $\mathcal{G} = 35.2$  and different FVD levels.





Figure 14: (a - c) Time-averaged wall-shear stress,  $\mathcal{F}(x_s, z_s)$ , defined in equation (4.4), and (d - f) absolute value of the time-averaged wall-heat transfer,  $|Q(x_s, z_s)|$ , defined in equation (4.5). The numerical data are for  $(a, d) \mathcal{M}_{\infty} = 0$ ,  $(b, e) \mathcal{M}_{\infty} = 0.69$  and  $(c, f) \mathcal{M}_{\infty} = 1.1$ .

Linear and nonlinear results are included. The portions of the lines highlighted in red indicate 632 where the evolutions of boundary-layer disturbances studied by the linear and nonlinear 633 theory overlap. The light red portions of the  $u_{rms,max}$  trends grow with a negative concavity, 634 while the dark red portions grow with a positive concavity. The latter do not display a 635 fully exponential growth because nonlinearity quickly sets in leading the disturbance flow 636 to saturation. The dark red portion is clearly visible for Tu = 0.5%, becomes smaller as 637 the FVD level increases to about Tu = 1.8%, and disappears for larger Tu as the growth of 638  $u_{rms,max}$  with positive concavity is fully bypassed. 639

Nonlinear Görtler vortices are defined as boundary-layer disturbances that evolve through 640 three stages from their inception near the leading edge, as shown in the top graph of figure 15, 641 i.e. a light-red growth (such as an algebraic-like  $u_{rms,max}$  growth with negative concavity), 642 a dark-red growth (a  $u_{rms,max}$  growth with positive concavity) and a saturation stage, where 643 nonlinearity is fully effective and the intensity of the vortices becomes almost independent of 644 the streamwise position. When these flow conditions are met, cross-sectional contour plots 645 of the saturated streamwise velocity and temperature feature the typical mushroom shape, 646 shown in figure 15(a) for Tu = 0.5%. Streaks only exhibit a light-red algebraic-like growth 647 of  $u_{rms,max}$  instead of a dark-red growth with positive concavity and feature a bell shape 648 instead of a mushroom shape, shown in figures 15(b, c). They saturate to a nearly constant 649 amplitude, like the nonlinear Görtler vortices. 650

Using the observations of figure 15, we have created the map shown in figure 16, which identifies the flow character as a function of Tu and G. The map represents subsonic nonlinearly saturated low-frequency disturbances in boundary layers over concave surfaces. The map is representative of flows over turbine blades with frequencies, Reynolds number and Mach number comparable with the reference values chosen herein. Convex-curvature effects



Figure 15: (*a*) Growth of  $u_{rms,max}$  for  $\mathcal{G} = 35.2$  and different FVD levels. The portions of the trends highlighted in red indicate where the linear and the nonlinear solutions overlap. The darker portions of the trends denote a  $u_{rms,max}$  growth with positive concavity. The saturation points are marked by red circles. Graphs (b, c, d) show contours of the instantaneous streamwise velocity y - z plane at the saturation locations for different FVD levels.

are not included as our results indicate that the growth of disturbances is never enhanced with respect to the flat-wall case when the wall is convex.

In the linearised case, for which saturation does not occur, Viaro & Ricco (2018) distinguished Görtler vortices from streaks by applying a criterion solely based on the concavity of the amplitude of the streamwise velocity. This method is however inapplicable for nonlinear Görtler vortices because nonlinear disturbances saturate with a null or slightly negative growth rate. If the Viaro-Ricco criterion were applied to the saturated nonlinear disturbances, they would not be classified as Görtler vortices.

As represented in the map of figure 16, Görtler vortices appear when the FVD level is relatively low. The Görtler-vortex region expands as the Görtler number increases. Streaks are instead observed at larger Tu, i.e. when the streamwise curvature is less influential, as discussed in §4.2. As the Görtler number is increased beyond  $\mathcal{G} = 100$ , the line that separates the two regions flattens to a FVD level slightly below Tu = 3%. This result indicates that, as the Görtler number increases, the wall curvature becomes less influential on whether the nonlinear disturbances evolve as streaks or Görtler vortices. Nonlinear streaks are likely to



Figure 16: Occurrence map of nonlinear streaks and Görtler vortices for  $k_x = 0.0073$ ,  $R_{\Lambda} = 1124$  and  $\mathcal{M}_{\infty} = 0.69$ . The symbols denote the data of Zhao & Sandberg (2020) (solid circle), Wheeler *et al.* (2016) (hollow circles) and Arts *et al.* (1990) (hollow squares).

develop over turbine blades because free-stream disturbance environments characterised by 671 Tu > 3% certainly pertain to turbomachinery flows. Even if boundary layers over turbine 672 blades were exposed to low FVD levels, i.e. Tu < 3%, streaks would still be more likely to 673 occur than Görtler vortices. As discussed in §4.2, the streamwise extent of turbine blades is 674 indeed too limited for the disturbances to be influenced by the wall curvature and turn into 675 Görtler vortices when Tu is low, following the initial algebraic growth highlighted in light 676 red in figure 15. We also note that, while the nonlinear streaks evolving over concave surfaces 677 saturate to a constant amplitude, the nonlinear streaks occurring over flat plates, also termed 678 679 thermal Klebanoff modes (Marensi et al. 2017), typically decay after the initial algebraic growth. The line that distinguishes Görtler vortices from streaks in figure 16 crosses the 680 abscissa at a finite G value, i.e. at any FVD level, small curvatures are not sufficient to trigger 681 Görtler vortices because viscous dissipation overcomes the inviscid centrifugal imbalance 682 (Wu et al. 2011; Viaro & Ricco 2018). Furthermore, although FVD are responsible for 683 684 triggering Görtler vortices and streaks through receptivity, enhancing the FVD level always favours the formation of streaks instead of Görtler vortices. 685

In figure 16, experimental and direct-numerical-simulation data typical of flows over tur-686 bine blades and in subsonic wind tunnels are also shown. All those data are located in 687 the 'Streaks' region, denoting the weak effect of the curvature in boundary layers over the 688 pressure sides of turbine blades. The absence of Görtler vortices over the pressure side of 689 turbine blades was also predicted by Đurović et al. (2021), who utilised the criterion by Saric 690 (1994) based on the critical Görtler number. This approach, although successful in their case, 691 is generally not applicable because it is based on three assumptions that are not often satisfied: 692 the Görtler vortices are i) fully developed along the streamwise direction, which may not 693 be the case because of the limited extent of turbine blades, ii) described by a linearised 694 695 dynamics, which is unlikely to be the case for moderate and elevated FVD levels, typical of turbomachinery applications and iii) unaffected by nonparallel effects, which instead play 696

a leading role when  $\mathcal{G} = O(1)$  (Hall 1983; Wu *et al.* 2011; Xu *et al.* 2017; Marensi & Ricco 2017).

By unravelling the competition between the FVD level and the wall curvature, our occurrence map provides a theoretical explanation for the flow character and instabilities at play in boundary layers over concave walls in the presence of FVD. The map may be used to interpret experiments and simulations of subsonic turbomachinery flows.

703

# 4.5. Secondary instability of Görtler vortices and streaks

In this section, we present the results on the secondary instability of the Görtler vortices and 704 streaks. We observe that the dominant fundamental modes are more unstable than the other 705 modes; therefore, we only report the results for the fundamental modes. Figures 17(a, b)706 display the growth rate  $\omega_i$  and the phase speed  $c_r = \omega_r / \alpha$  of the secondary modes at  $\bar{x} = 1.5$ 707 for Tu = 1%,  $\mathcal{M}_{\infty} = 0.69$  and  $\mathcal{G} = 35.2$ . For these conditions, the disturbances are nonlinear 708 709 Görtler vortices reaching their maximum amplitude. The instability is analysed when the flow is unstable, i.e. in two time windows within a period, from  $3\pi/4$  to  $\pi$  and from  $7\pi/4$  to 710 711  $2\pi$ . Three dominant unstable modes are detected, one varicose mode (even mode I) and two sinuous modes (odd mode I and II), all of which were shown by Ren & Fu (2015) and Xu 712 713 et al. (2017). At each phase, the maximum growth rate is attained by the even mode I.

Figures 17(c, d) show the growth rate  $\omega_i$  and the phase speed  $c_r$  of the secondary modes at  $\bar{x} = 1.5$  for Tu = 1%,  $\mathcal{M}_{\infty} = 0.69$  and  $\mathcal{G} = 0$ . For these conditions, the disturbances are nonlinear streaks since the wall is flat. The growth rate of the odd mode I is relatively low, with a maximum value of about 0.004. Comparing the growth rates in the concave-wall case in figure 17(a) with the growth rate in the flat-wall case in figure 17(c) demonstrates that the curvature significantly increases the growth rate of this secondary instability mode.

Figures 18(a-c) shows the contours of the streamwise-velocity eigenfunctions of sinuous and varicose modes pertaining to nonlinear Görtler vortices for the same conditions of figures 17(a, b). The eigenfunctions of the unstable odd modes extend across the entire mushroom shape due to the highly distorted velocity profile, while the eigenfunctions of the even modes concentrate at the top of the mushroom shape. Figure 18(d) shows the eigenfunction of the odd mode I pertaining to the nonlinear streaks for the same conditions of figures 17(c, d).

Figure 19 presents the growth rate  $\omega_i$  and phase speed  $c_r$  of secondary modes growing 726 on nonlinear streaks at  $\bar{x} = 0.36$  for Tu = 6%. Due to the high FVD level, the growth rate 727 and phase speed are almost the same as those for Görtler vortices, as shown in figure 17. 728 729 Compared with the Görtler vortices, the time window of instability is shorter, although the dominant mode is still the odd mode I. A new even mode (even mode II) is detected for the 730 nonlinear streaks, which has never been reported in the literature. Both its growth rate and 731 phase speed are smaller than those of the odd mode I. This new mode is not the varicose 732 733 mode reported in Wu & Choudhari (2003) as the new mode only appears for high-intensity 734 FVD.

735 Figure 20 shows the contours of the streamwise-velocity eigenfunctions of the odd mode I and the even mode II for Tu = 6%. The structure of the odd mode I is similar to the odd mode 736 I for the streaks shown in figure 18(d). The even mode II concentrates in the lower part of 737 the streaks and it may thus promote transition to turbulence at the stem of nonlinear streaks. 738 Our analysis thus suggests that transition to turbulence over the pressure surface of turbine 739 blades subject to high-intensity FVD is due to the breakdown of unsteady nonlinear streaks. 740 We also conclude that transition to turbulence in subsonic wind tunnel can be caused by the 741 breakdown of nonlinear Görtler vortices because of the low-intensity FVD environment and 742 the long streamwise distance along which the vortices can develop. 743



Figure 17: (a, c) Temporal growth rates and (b, d) phase speeds of the secondary-instability modes of Görtler vortices. Graphs (a, b) are for the concave-wall case  $(\mathcal{G} = 35.2)$  and graphs (c, d) are for the flat-wall case  $(\mathcal{G} = 0)$ . The red lines represent odd mode I, the blue lines correspond to even mode I, and the black lines indicate odd mode II. The parameters are  $\bar{x} = 1.5$ , Tu = 1% and  $\mathcal{M}_{\infty} = 0.69$ .



Figure 18: Eigenfunctions of secondary unstable modes, shown by contours of the streamwise velocity (absolute value, black lines). Görtler vortices ( $\mathcal{G} = 35.2$ ): (*a*) odd mode I; (*b*) odd mode II; (*c*) even mode I. Streaks ( $\mathcal{G} = 0$ ): (*d*) odd mode I. The coloured contours represent the streamwise velocity of the vortex base flow at  $\bar{x} = 1.5$ . Five levels are specified, ranging from 0.1 to 0.9. The parameters are Tu = 1% and  $\mathcal{M}_{\infty} = 0.69$ .



Figure 19: Characteristics of secondary instability of streaks: (*a*) temporal growth rate and (*b*) phase speed versus the streamwise wavenumber  $\alpha$ . The parameters are Tu = 6%,  $\mathcal{G} = 35.2$  and  $\mathcal{M}_{\infty} = 0.69$ .



Figure 20: Eigenfunctions (absolute value, black lines) of secondary unstable modes, shown by contours of the streamwise velocity. (*a*) odd mode I; (*b*) even mode II. The coloured contours represent the streamwise velocity of the vortex base flow at  $\bar{x} = 0.3$ . Five levels are specified, ranging from 0.1 to 0.9. The parameters are Tu = 6%,  $\mathcal{G} = 35.2$  and  $\mathcal{M}_{\infty} = 0.69$ .

# 744 5. Conclusions

In this study, we have utilised receptivity theory to investigate the nonlinear response of 745 compressible boundary layers over curved surfaces to unsteady free-stream vortical fluctua-746 tions of the convected-gust type. We have focused on low-frequency and long-wavelength 747 disturbances because these disturbances penetrate the most into the core of a boundary 748 laver, forming kinematic and thermal Görtler vortices or streaks. The free-stream distur-749 bances are assumed to be strong enough to generate nonlinear interactions between velocity 750 and temperature fluctuations, thus altering the original laminar base flow when the local 751 boundary-layer thickness becomes comparable with the spanwise wavelength of the Görtler 752 vortices or streaks. This boundary-layer response is governed by the compressible boundary-753 region equations, leading to a nonlinear initial-boundary-value problem that we have solved 754 numerically. Our previous studies by Xu et al. (2017), Marensi et al. (2017) and Viaro & 755 Ricco (2019a) have been unified to account for compressibility, curvature and nonlinear 756 effects simultaneously. 757

We have investigated transitional boundary layers for flow parameters pertaining to flows 758 over pressure surfaces of turbine blades. Decreasing the frequency of the free-stream pertur-759 bations and increasing the wall concavity and the free-stream disturbance level energise the 760 boundary-layer disturbances. The Mach number instead has no influence on the kinetic dis-761 turbances and has a slightly stabilising influence on the thermal disturbances in the subsonic 762 conditions of interest. The disturbances are unsteady along an initial streamwise distance 763 because the unsteadiness of the free-stream flow has a direct impact on the boundary layer. As 764 the flow evolves, steady-flow distortions caused by nonlinearity become comparable with, and 765 may even exceed, the unsteady components induced by the free-stream flow. Our numerical 766 results have been compared with available experimental data for boundary-layer flows over 767 curved pressure surfaces of turbine blades. The receptivity framework accurately predicts 768 the streamwise-elongated spanwise patterns of enhanced skin friction and wall-heat transfer, 769 often referred to as hot fingers. 770

We have also created a map that identifies the occurrence of saturated nonlinear Görtler 771 vortices and streaks, for different Görtler numbers and free-stream disturbance levels. Non-772 linear streaks are defined as disturbances that only grow algebraically and exhibit a bell-like 773 shape. The streaks are more likely to occur at small Görtler numbers and at relatively high 774 levels of ambient disturbances; for high Görtler numbers, a free-stream disturbance level 775 slightly exceeding 3% generates streaks only. Nonlinear Görtler vortices are instead defined 776 as disturbances that display a growth with positive curvature following an initial algebraic 777 growth and feature a mushroom-like shape. The Görtler vortices occur at low levels of free-778 stream disturbance and intensify as the Görtler number increases. 779

We have studied the secondary instability of the nonlinear boundary-layer disturbances to 780 elucidate the subsequent stages of the transition process. Our numerical results indicate that 781 the saturated disturbances are susceptible to exponentially growing high-frequency modes. 782 Increasing the streamwise curvature promotes the growth of two odd modes and one even 783 mode. Görtler vortices and streaks excited by high-intensity free-stream disturbances are 784 susceptible to a new even mode (even mode II), which has not been reported in earlier studies. 785 This mode is important since it is located at the stem of the streaks and may thus initiate 786 transition to turbulence there. The even mode II could potentially be more critical than the 787 more unstable odd mode I because its concentration near the wall may cause the resulting 788 789 transition to affect the skin friction and the wall-heat transfer immediately. In contrast, the odd mode I, located in the outer part of the boundary layer, will not substantially influence 790 the skin friction and the wall-heat transfer until transition extends to the wall. 791

792 To conclude, the present study has provided a mathematical and numerical description

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of the generation, evolution and secondary instability of Görtler vortices and streaks in compressible boundary layers. The central result is that, thanks to the receptivity approach, the characteristics of the free-stream disturbance environment have been linked quantitatively to the transitional boundary layer. Our analysis can be readily extended to more realistic cases, including boundary layers exposed to broadband free-stream turbulence (Zhang *et al.* 2011) or influenced by a streamwise pressure gradient (Xu *et al.* 2020).

An important avenue of future research is the study of amplified three-dimensional waves 799 developing on the streaks, as recently observed in hypersonic boundary-layer flows by Huang 800 et al. (2021) and Feng et al. (2024), and previously studied in incompressible boundary layers 801 802 by Lee & Wu (2008), Jiang et al. (2020a), Jiang et al. (2020b) and Jiang et al. (2021). As shown by Huang et al. (2021) and Feng et al. (2024), these three-dimensional waves 803 feature overlapped temperature peaks and high-frequency modes, and play an important role 804 in the breakdown to turbulence. In our future work, we plan to focus on the final stages of 805 transition to turbulence and, therefore, it would be interesting to investigate how free-stream 806 perturbations and wall curvature influence the formation of these three-dimensional waves. 807

For an accurate prediction of the transition location in boundary layers over turbine blades, the leading-edge bluntness should also be taken into account. Transition prediction methods would thus be possible for turbomachinery flows and other compressible flows of industrial

811 interest.

#### 812 Acknowledgments

813 We thank the reviewers for their helpful comments that have helped improve the quality of

the paper. DX would like to thank Professor Ming Dong, Dr Runjie Song and Dr Lei Zhao

815 for the useful discussions. DR and PR wish to acknowledge the support of EPSRC (Grant

- No. EP/T01167X/1). PR has also been supported by the US Air Force through the AFOSR and the AFOSR (Internet EA 8655 21 1 7008 (Internet innel Program Office Dr Daugles Smith)
- grant FA8655-21-1-7008 (International Program Office Dr Douglas Smith).

#### 818 **Declaration of Interests**

819 The authors report no conflict of interest.

# 820 Appendix A. Initial conditions for the boundary-region equations

- 821 The initial conditions are derived by first seeking a power series solution of the boundary-
- region equations for  $\bar{x} \ll 1$  and  $\eta = O(1)$

$$\{\bar{u},\bar{v},\bar{w},\bar{\tau},\bar{p}\} = \sum_{j=0}^{\infty} (2\bar{x})^{j/2} \left\{ 2\bar{x}U_j(\eta), \sqrt{2\bar{x}}V_j(\eta), k_z^{-1}W_j(\eta), 2\bar{x}T_j(\eta), P_j(\eta)/\sqrt{2\bar{x}} \right\},$$

and by constructing a composite solution that is valid for all values of  $\eta$ . This procedure yields the initial conditions

825 
$$\bar{x} \to 0$$
]  $\hat{u}_{1,\pm 1} \to q_{\pm} \left( 2\bar{x}U_0 + (2\bar{x})^{3/2}U_1 \right),$  (A1)

826 
$$\hat{v}_{1,\pm 1} \to q_{\pm} \left[ V_0 + (2\bar{x})^{1/2} V_1 - \left( V_c - \frac{1}{2} g_1 |\kappa_z| (2\bar{x})^{1/2} \right) e^{-|\kappa_z| (2\bar{x})^{1/2} \bar{\eta}} \right]$$

827

27 
$$+ \frac{\mathrm{i}}{(\kappa_y - \mathrm{i}|\kappa_z|)(2\bar{x})^{1/2}} \left( e^{\mathrm{i}\kappa_y (2\bar{x})^{1/2} \bar{\eta} - (\kappa_z^2 + \kappa_y^2)\bar{x}} - e^{-|\kappa_z|(2\bar{x})^{1/2} \bar{\eta}} \right) - \bar{\nu}_c \right], \qquad (A2)$$

828 
$$\hat{w}_{1,\pm 1} \to \mp i q_{\pm} \left[ W_0 + (2\bar{x})^{1/2} W_1 - V_c |\kappa_z| (2\bar{x})^{1/2} e^{-|\kappa_z| (2\bar{x})^{1/2} \bar{\eta}} \right]$$

829 
$$+\frac{1}{\kappa_{y}-i|\kappa_{z}|}\left(\kappa_{y}e^{i\kappa_{y}(2\bar{x})^{1/2}\bar{\eta}-(\kappa_{z}^{2}+\kappa_{y}^{2})\bar{x}}-i|\kappa_{z}|e^{-|\kappa_{z}|(2\bar{x})^{1/2}\bar{\eta}}\right)-\bar{w}_{c}\right],$$
(A 3)

830 
$$\hat{p}_{1,\pm 1} \to q_{\pm} \left[ \frac{P_0}{(2\bar{x})^{1/2}} + P_1 + \left( g_1 - \frac{V_c}{|\kappa_z|(2\bar{x})^{1/2}} \right) e^{-|\kappa_z|(2\bar{x})^{1/2}} \bar{\eta} - \bar{p}_c \right], \tag{A4}$$

831 
$$\bar{\tau}_{1,\pm 1} \to q_{\pm} \left( 2\bar{x}T_0 + (2\bar{x})^{3/2}T_1 \right),$$
 (A 5)

832 where  $\bar{\eta} \equiv \eta - \beta_c$  and  $\beta_c = \lim_{\eta \to \infty} (\eta - F)$ . The common parts  $\bar{v}_c$ ,  $\bar{w}_c$  and  $\bar{p}_c$ , the constants

g<sub>1</sub> and  $V_c$  and the solutions  $U_0$ ,  $V_0$ ,  $W_0$ ,  $P_0$ ,  $T_0$ ,  $U_1$ ,  $V_1$ ,  $W_1$ ,  $P_1$ ,  $T_1$  are given in Appendix D

of Ricco (2007). The term  $q_{\pm}$  herein represents the amplitude of the induced disturbances.

835 In the case of a pair of oblique modes, it is given by

$$q_{\pm} = \pm \frac{\mathrm{i}\kappa_z^2}{k_z} \left( \hat{u}_{z,\pm}^{\infty} \pm \frac{\mathrm{i}k_z}{\sqrt{k_x^2 + k_z^2}} \hat{u}_{y,\pm}^{\infty} \right).$$

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